

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

401232/MIURA

U.S. APPLICATION NO.

09/857963

INTERNATIONAL APPLICATION NO.

PCT/JP99/06975

INTERNATIONAL FILING DATE

December 13, 1999

PRIORITY DATE CLAIMED

December 14, 1998

TITLE OF INVENTION

COATED BIOLOGICALLY ACTIVE PARTICLES

APPLICANT(S) FOR DO/EO/US

CHIKAMI ET AL.


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

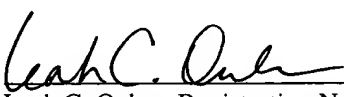
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
  3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)).
  4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
  5. ☒ A copy of the International Application as filed (35 USC 371(c)(2))
    - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ An English language translation of the International Application as filed (35 USC 371(c)(2)).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
  9. ☐ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
  10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
  11. Nucleotide and/or Amino Acid Sequence Submission
    - a. ☐ Computer Readable Form (CRF)
    - b. Specification Sequence Listing on:
      - i. ☐ CD-ROM or CD-R (2 copies); or
      - ii. ☐ Paper Copy
    - c. ☐ Statement verifying identity of above copies
- Items 12 to 19 below concern other document(s) or information included:**
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
    - ☐ Form PTO-1449
    - ☐ Copies of Listed Documents
  13. ☐ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  14. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☒ Application Data Sheet Under 37 CFR 1.76
  18. ☒ Return Receipt Postcard
  19. ☒ Other items or information: FORM PCT/ISA/210, FORM PCT/IB/301, FORM PCT/IB/304,  
FORM PCT/IB/308, FORM PCT/IB/332

U.S. APPLICATION NO. <b>09/857963</b>		INTERNATIONAL APPLICATION NO. PCT/JP99/06975		ATTORNEY DOCKET NO. 401232/MIURA																											
20. <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1,000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$ 860.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO, but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$ 710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$ 690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) to (4)..... \$ 100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT=</b> \$860.00 Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date \$ <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:20%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:20%;">RATE</th> <th style="width:20%;"></th> <th style="width:20%;"></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>16</td> <td>-20=</td> <td></td> <td>x \$ 18.00</td> <td>\$</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>- 3 =</td> <td></td> <td>x \$ 80.00</td> <td>\$</td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)</td> <td>+\$270.00</td> <td>\$</td> </tr> </tbody> </table> <b>TOTAL OF ABOVE CALCULATIONS=</b> \$860.00 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$ <b>SUBTOTAL=</b> \$ Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date. \$ <b>TOTAL NATIONAL FEE=</b> \$ Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property + \$ <b>TOTAL FEE ENCLOSED=</b> \$860.00 <div style="text-align: right;">           Amount to be:            refunded \$            charged: \$         </div>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total Claims	16	-20=		x \$ 18.00	\$	Independent Claims	1	- 3 =		x \$ 80.00	\$	<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+\$270.00	\$	CALCULATIONS		PTO USE ONLY	
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a. <input checked="" type="checkbox"/> A check in the amount of \$860.00 to cover the above fee is enclosed. b. <input type="checkbox"/> Please charge Deposit Account No. 12-1216 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.																															

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

  
**23548**  
 PATENT TRADEMARK OFFICE

  
 Leah C. Oubre, Registration No. 44,990  
 One of the Attorneys for Applicant(s)  
 6/13/01  
 Date